

Fit and Proper Declaration

Introduction:

(Note: The purpose of this declaration is to check whether the person fulfills the requirements of the CMDA fit and proper standards guideline for market intermediaries. If the answer is “YES” for any question, explanation must be annexed and references to the annexes must be given respectively in the box to the right-hand corner of the form).

#	Questions	Yes	No	#
1	Are there any criminal or civil charges filed against you?			
2	Is there any ongoing litigation, civil or criminal against you?			
3	Have you been convicted for any civil or criminal offence?			
4	Have you been arrested, or convicted for any offence within the past 10 years, or sentenced to expulsion, jail or house arrest for a period over 06 months.			
5	Have you been declared bankrupt or insolvent in the Maldives or in any other Country or do you have any arrangement or undertaking with creditors for settlement of debt?			
6	Do you have any unsettled proven debts (loans, credit facilities, or any other debt), or are you in breach of any settlement agreement or conviction for a debt settlement?			
7	Within the past 10 years, has any application made by you for a regulatory license or for registration or a special permit been rejected or have any such permit been given and suspended?			
8	Within the past 10 years, has your license to represent securities service providers been suspended in any country or have any disciplinary actions been taken against you by a Securities Exchange or Securities Regulatory?			
9	Within the past 10 years, have you been declined a license to represent securities service providers in any country?			
10	Within the past 10 years, have you been convicted of a criminal offence other than a traffic violation in the Maldives or in any other country,			
11	Have you been convicted in Maldives or in any other country for an offence such as Theft, Misappropriation of money or property, Deceit and Deception, Fraud, Money Laundering, Drug Abuse or Corruption? Or has any such			

Declaration:

I hereby declare that the information provided in the application form (including the information in the Annex) is true and accurate.

Name	Signature	Date