

**Capital Market Development Authority
Male' Maldives
LICENSE APPLICANT'S DECLARATION FORM
Form B**

[To be filled by Shareholders, Directors, and Company Secretary]

SECTION 1_ GENERAL INFORMATION

Name ID Number:

Address

Phone Email:

Name of the applicant company

Highest educational qualification

Education & Employment

2a. please list your qualifications with the details below

Qualification	Institution	Year completed
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2b. List businesses and employment details for the past 5 (five) years

Business/Employer Name and Address	Designation	Duration
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2c. List all companies in which you are a shareholder or director or company secretary

Company Name	Reg. No:	Designation	Appointed Date	% of shares held
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SECTION 2

Please answer the following questions –

Within the last 10 years –

- (1) Have you been known by any other name? YES NO
- (2) Did you have any securities services related license? YES NO
- (3) Has a license or membership been denied, revoked, suspended or limited by a Securities commission or an Exchange? YES NO
- (4) Has a license, registration or a permission for a business or trade or for a profession been denied, or revoked, or suspended or limited by an authority or such an organization? YES NO
- (5) Has a disciplinary action been taken by any professional organization in which you are/were a member? YES NO
- (6) Have you been convicted, charged or investigated for any offense other than traffic offenses? YES NO

- (7) Has there been a judgement against you in relation to a matter involving fraud, dishonesty or misrepresentation YES NO
- (8) Have you been adjudged bankrupt, or have you entered into any arrangement or agreement with creditors for settling off debts? YES NO
- (9) Have you been in a senior position (directors, CEOs etc.) of a company which went into bankruptcy or liquidation or entered into arrangements with creditors for settling of debts? YES NO
- (10) Have you been disqualified from directorship anywhere? YES NO

Please give the following details of two references with whom you have transacted within the last 2 (two) years.

Name and Address	Phone	Email
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SECTION 3_DECLARATION

I, Hereby declare that the information given in this form is true and accurate, and is aware that providing false information is an offense

Name:

Address:

Date:

Signature:

Documents required

- Copy of ID card
- Copy of educational certificates
- CV